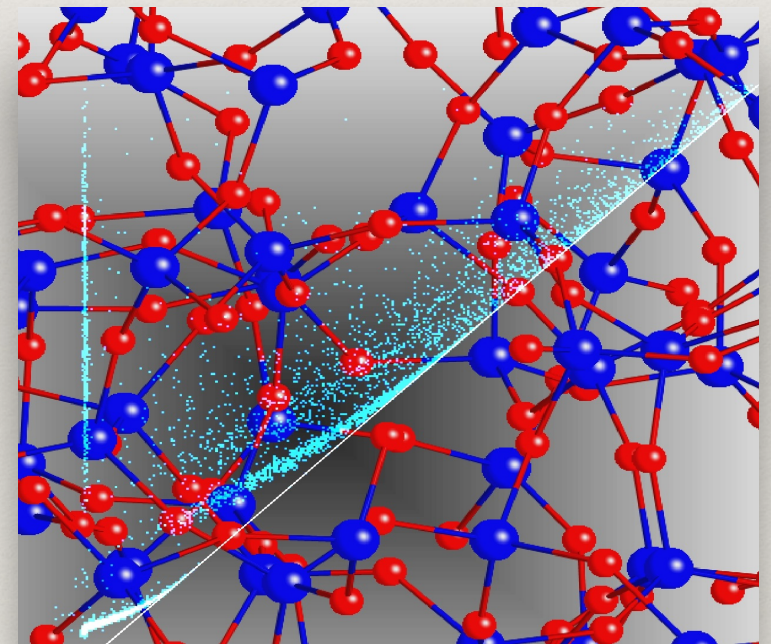
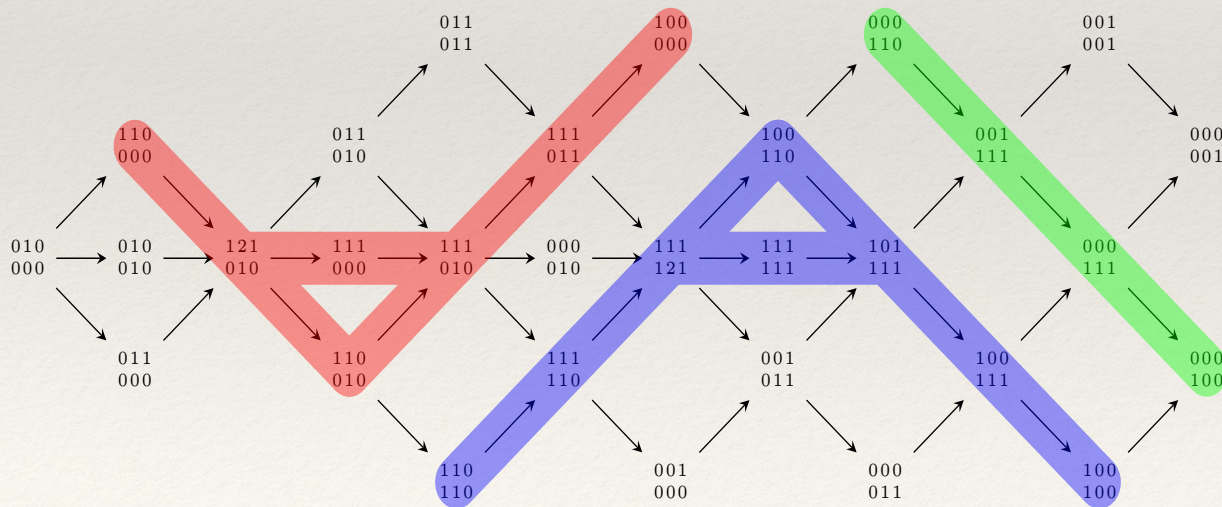


Persistence Modules on Commutative Ladders: Auslander-Reiten Theory in Topological Data Analysis

Yasuaki Hiraoka
(Kyushu University)



Reference

Mathematical Part:

E. Escolar & Y.H.

Persistence Modules on Commutative Ladders of Finite Type.

arXiv:1404.7588

TDA on Glass:

T. Nakamura, Y.H., et al.

Description of Medium-Range Order in Amorphous Structures by Persistent Homology

preprint

Acknowledgement

AR Theory

D. Tamaki, H. Asashiba,
H. Ochiai

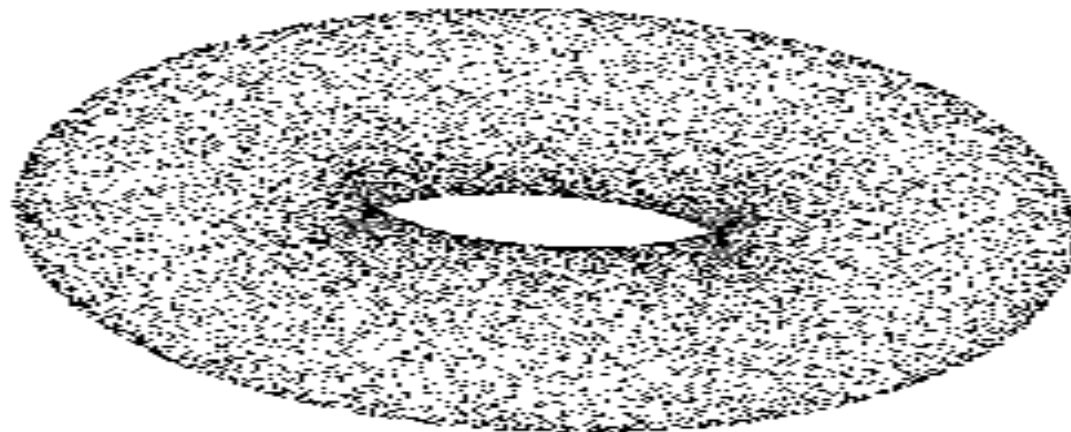
Materials Science

A. Hirata, K. Matsue,
T. Nakamura, Y. Nishiura

Topological Data Analysis

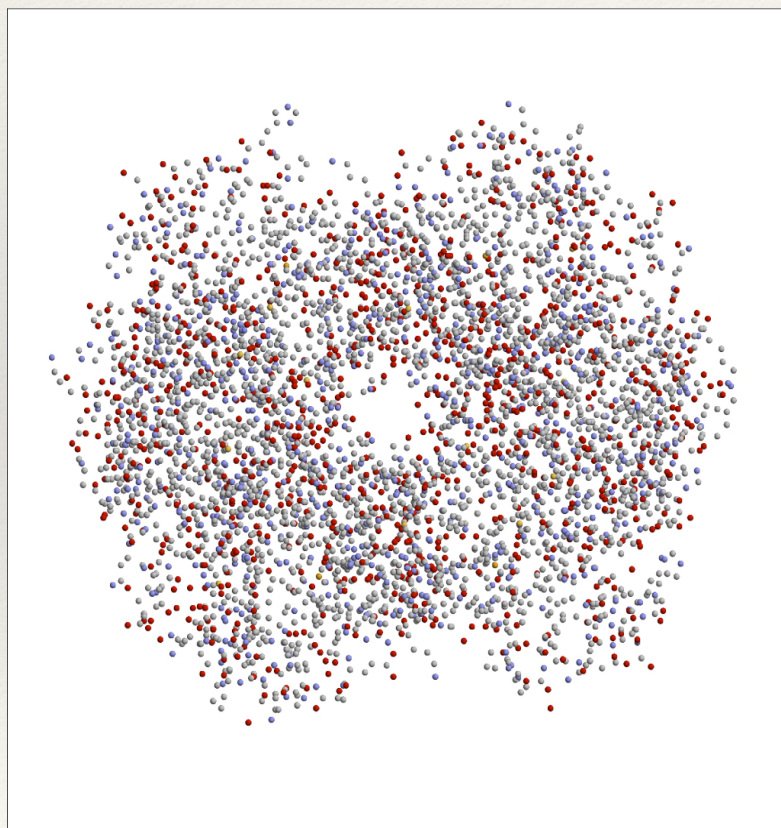
Topological characterizations of real data

- finite observations
- big
- error, noise

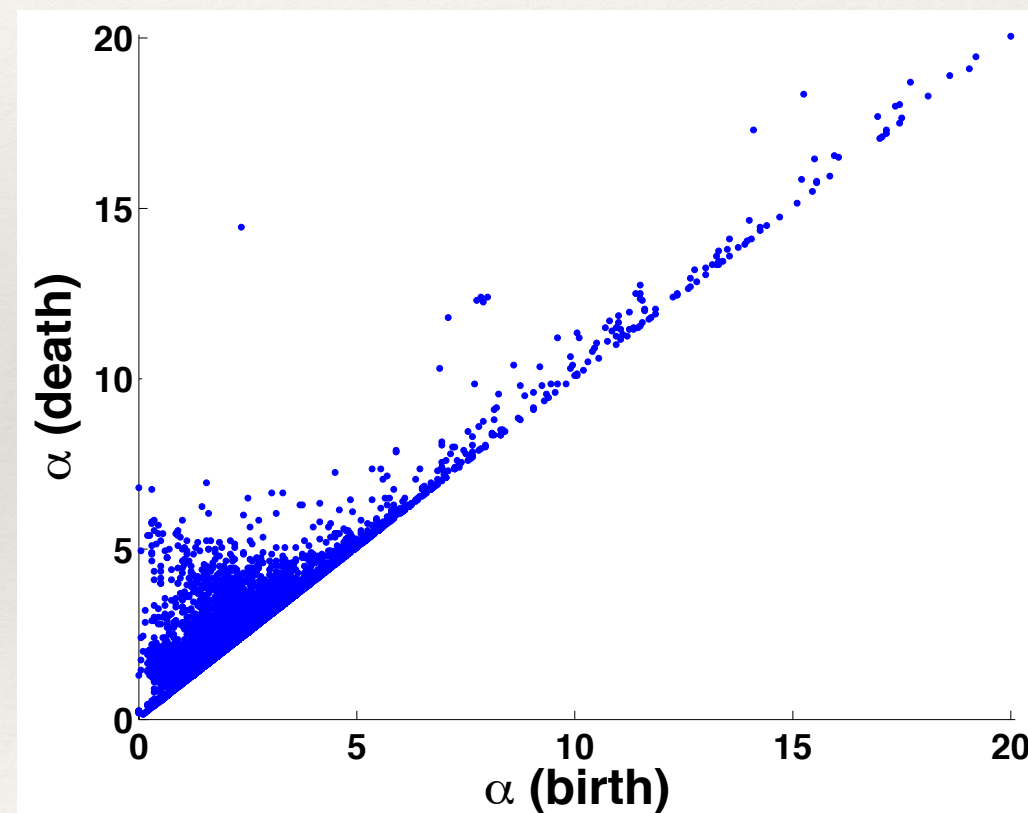


Persistent Homology

Characterize robust & noisy topological features in data



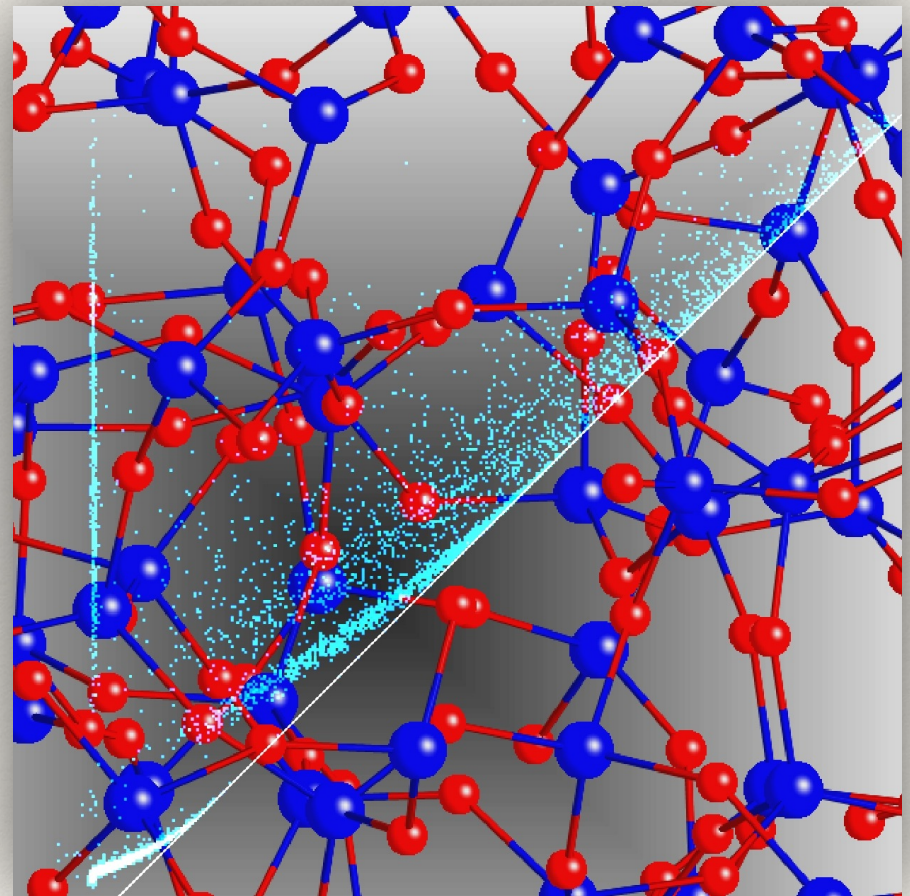
Hemoglobin



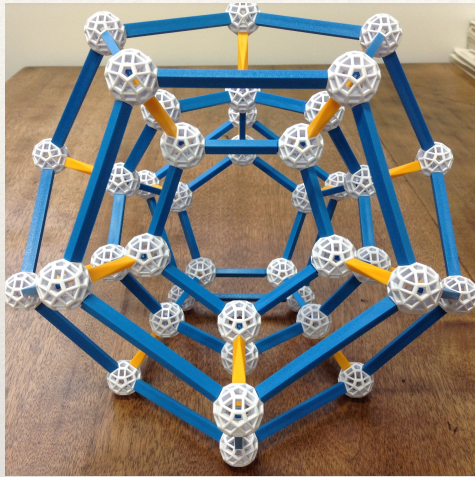
Persistence Diagram

Applications of Persistent Homology

1. AYASDI (company of TDA by G. Carlsson)
2. Image processing
3. Sensor networks
4. Statistics
5. Proteins
6. Materials Science
(Glass, Grain, etc)

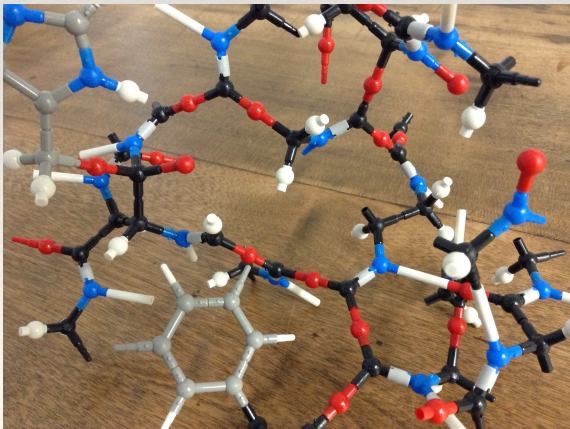


Atomic Location and Geometry



Crystal

- ❖ Group Theory
- ❖ Combinatorics
- ❖ Discrete Geometry, etc

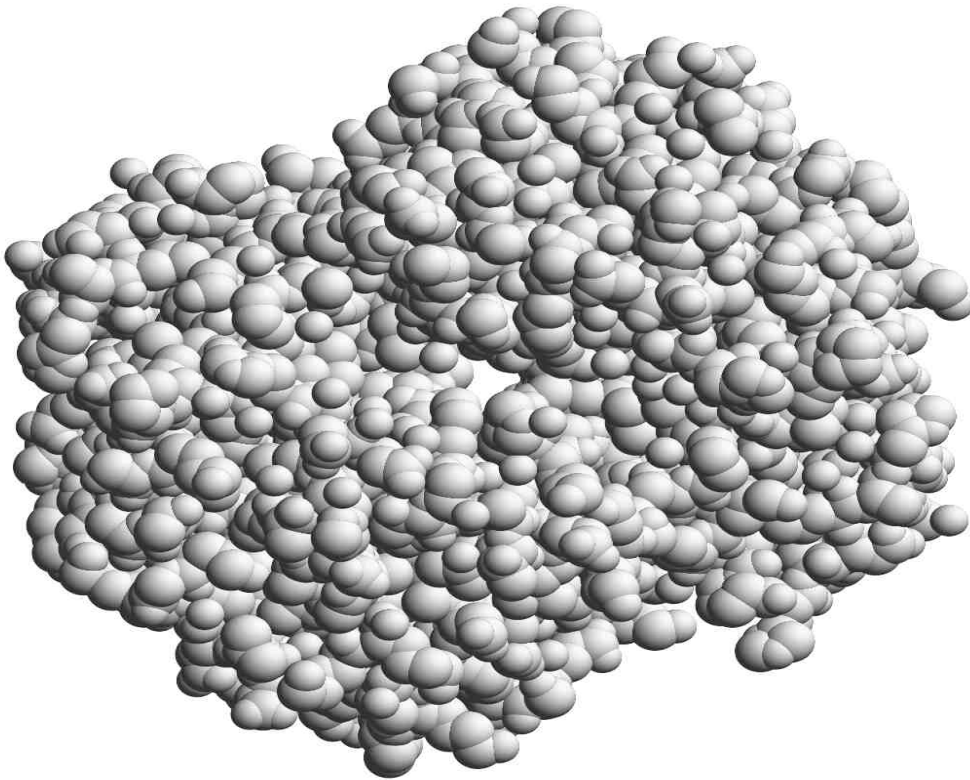


Amorphous (glass), Protein

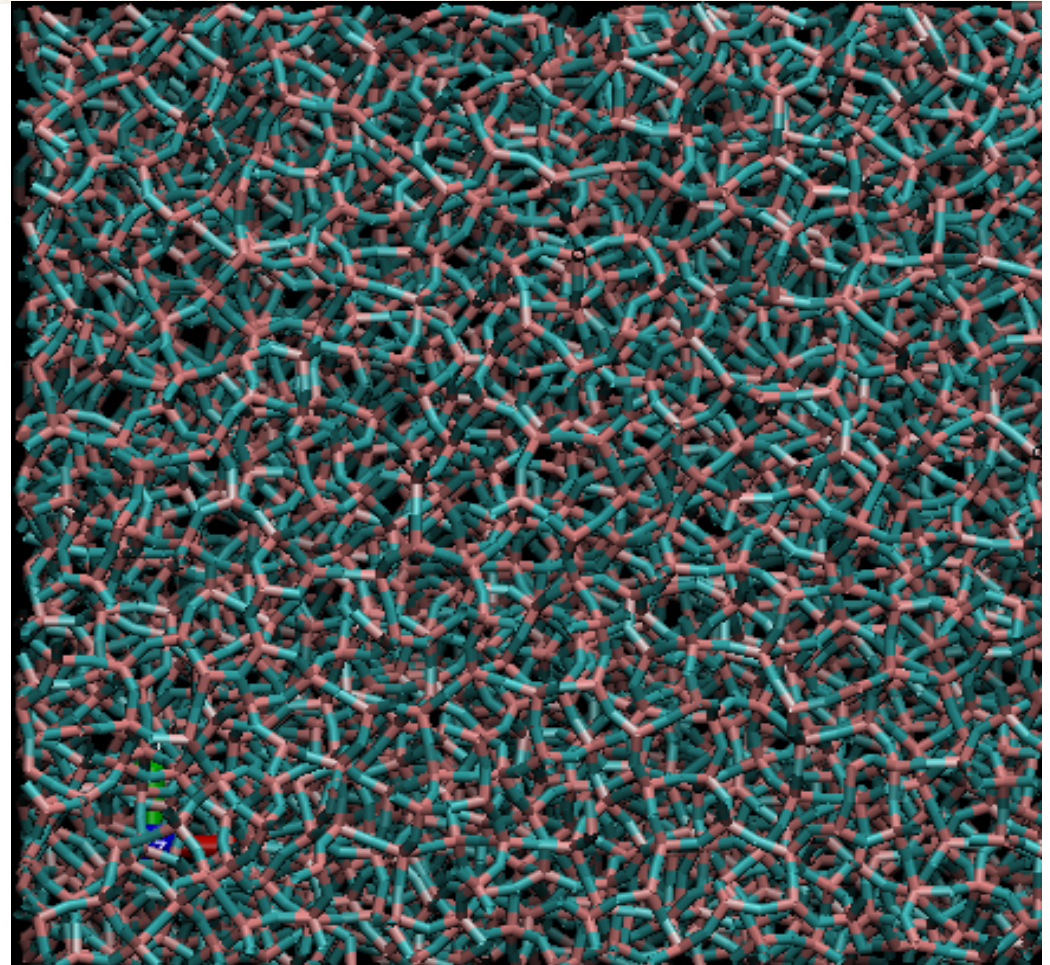
- ❖ Alpha shape
- ❖ Persistent Homology

Atomic Location and Geometry

Protein

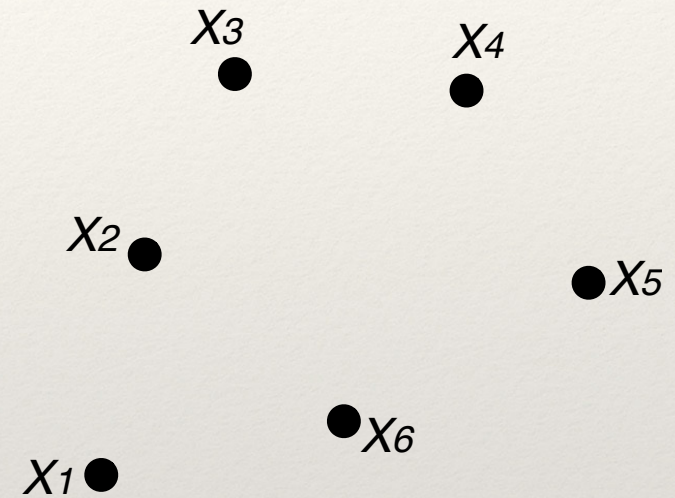


Glass



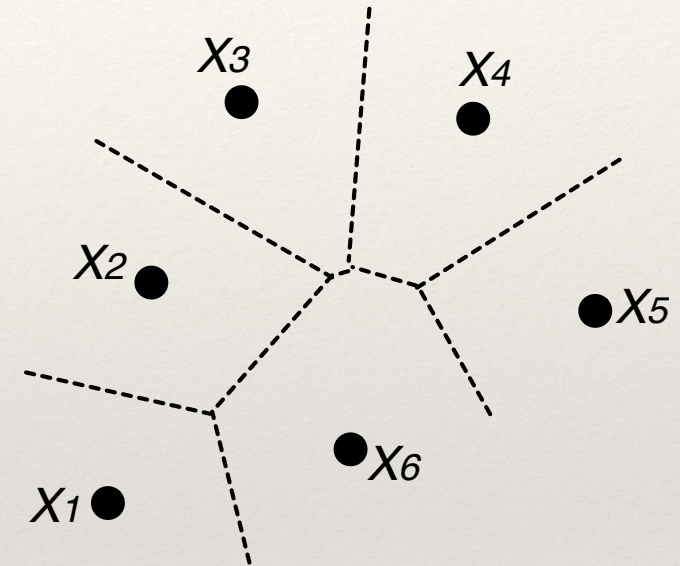
Alpha Shape

- $X = \{x_i \in \mathbf{R}^3 \mid i = 1, \dots, n\}$



Alpha Shape

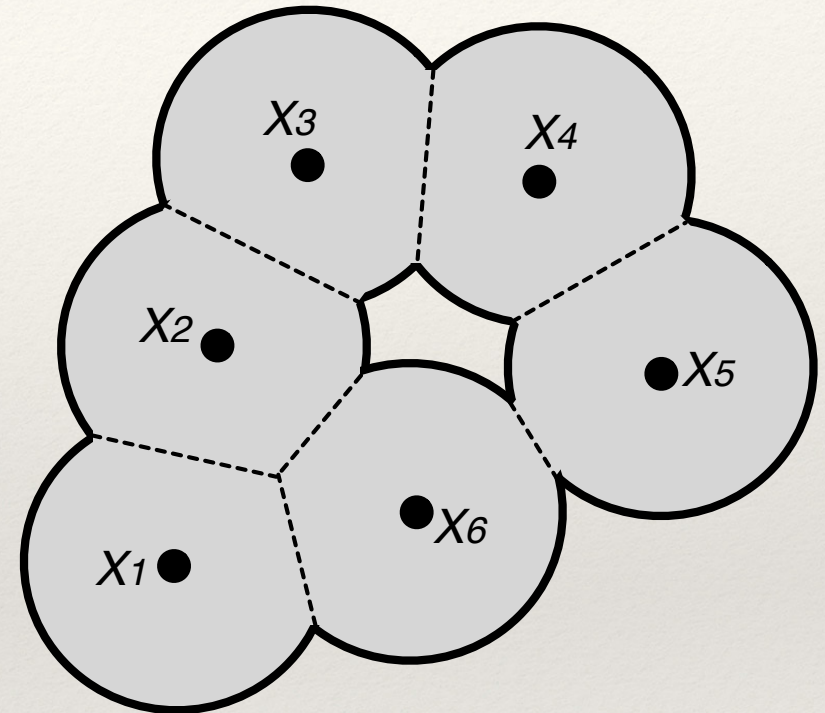
- $X = \{x_i \in \mathbf{R}^3 \mid i = 1, \dots, n\}$
- $\mathbf{R}^3 = \bigcup_{i=1}^n V_i$: Voronoi decomp.



Alpha Shape

- $X = \{x_i \in \mathbf{R}^3 \mid i = 1, \dots, n\}$
- $\mathbf{R}^3 = \bigcup_{i=1}^n V_i$: Voronoi decomp.
- $\bigcup_{i=1}^n B_i(r) = \bigcup_{i=1}^n (B_i(r) \cap V_i)$

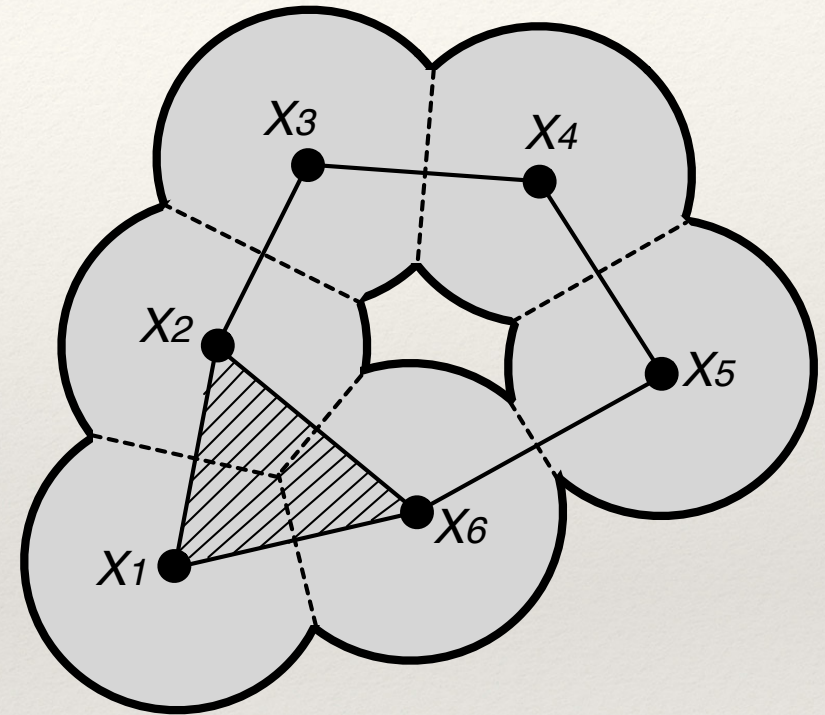
$$B_i(r) = \{x \in \mathbf{R}^3 \mid \|x - x_i\| \leq r\}$$



Alpha Shape

- $X = \{x_i \in \mathbf{R}^3 \mid i = 1, \dots, n\}$
- $\mathbf{R}^3 = \bigcup_{i=1}^n V_i$: Voronoi decomp.
- $\bigcup_{i=1}^n B_i(r) = \bigcup_{i=1}^n (B_i(r) \cap V_i)$

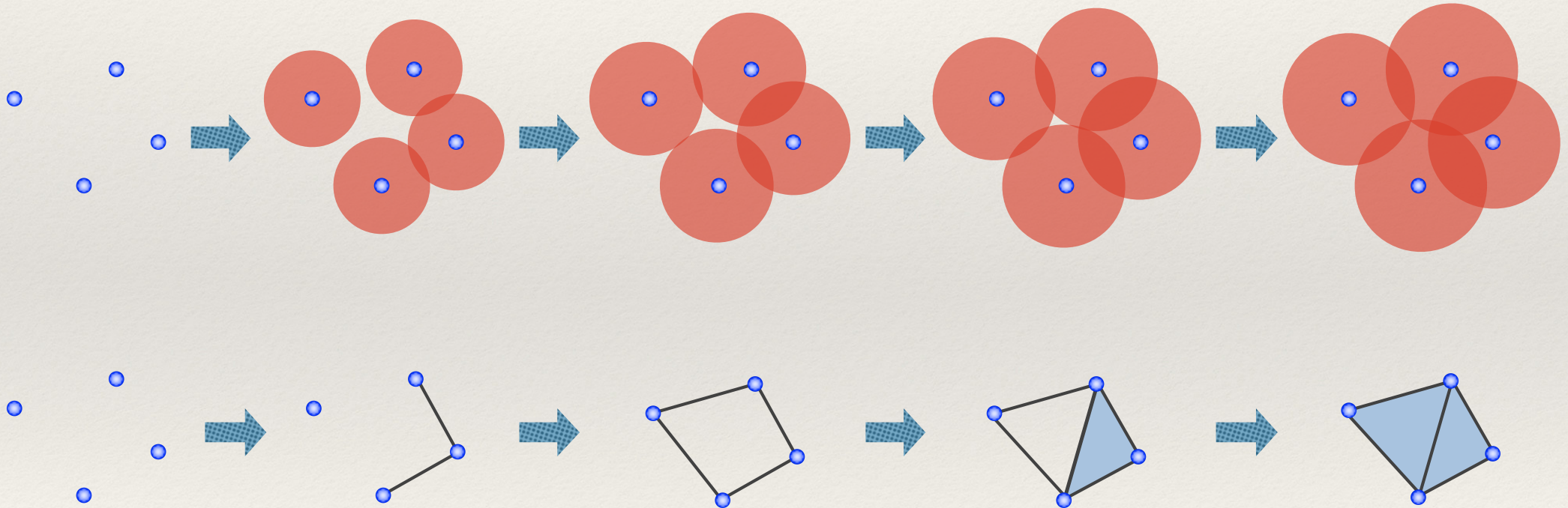
$$B_i(r) = \{x \in \mathbf{R}^3 \mid \|x - x_i\| \leq r\}$$



- Alpha shape $\mathcal{A}(X, r)$: dual of $\{B_i(r) \cap V_i \mid i = 1, \dots, n\}$
(simplicial complex)

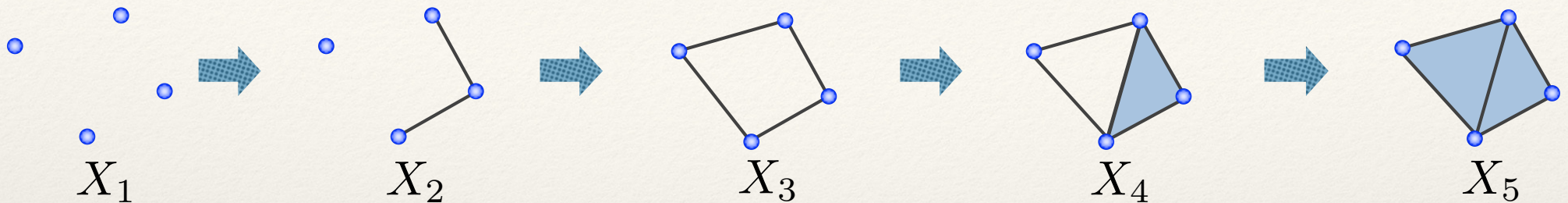
Alpha Filtration

- $\mathcal{A}(X, r) \subset \mathcal{A}(X, s)$ for $r < s$



Note: Alpha shape (filtration) can be computed by CGAL

Persistent Homology



- filtration $\mathcal{X} : X_1 \subset X_2 \subset \cdots \subset X_n$

$$PH_1(\mathcal{X}) \simeq I[3, 4]$$

- persistent homology

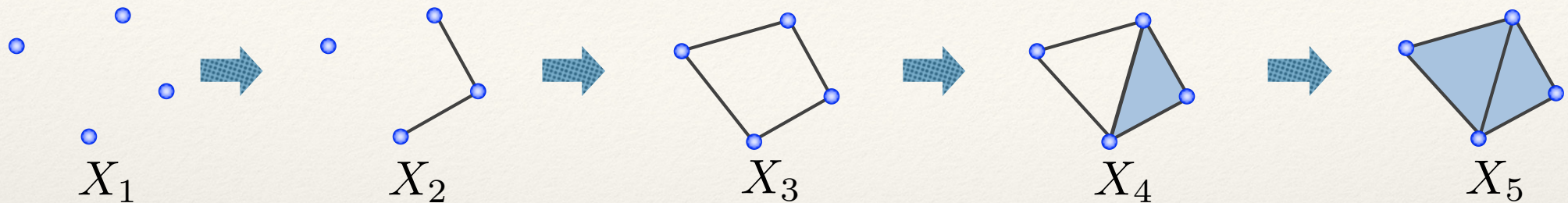
$$PH_\ell(\mathcal{X}) : H_\ell(X_1) \rightarrow H_\ell(X_2) \rightarrow \cdots \rightarrow H_\ell(X_n)$$

- interval decomposition (Gabriel's Theorem)

$$PH_\ell(\mathcal{X}) \simeq \bigoplus_{i=1}^s I[b_i, d_i]$$

$$I[b, d] : 0 \rightarrow \cdots \rightarrow 0 \xrightarrow{\text{at } X_b} K \xrightarrow{\text{at } X_d} \cdots \rightarrow K \rightarrow 0 \rightarrow \cdots \rightarrow 0$$

Persistence Diagram

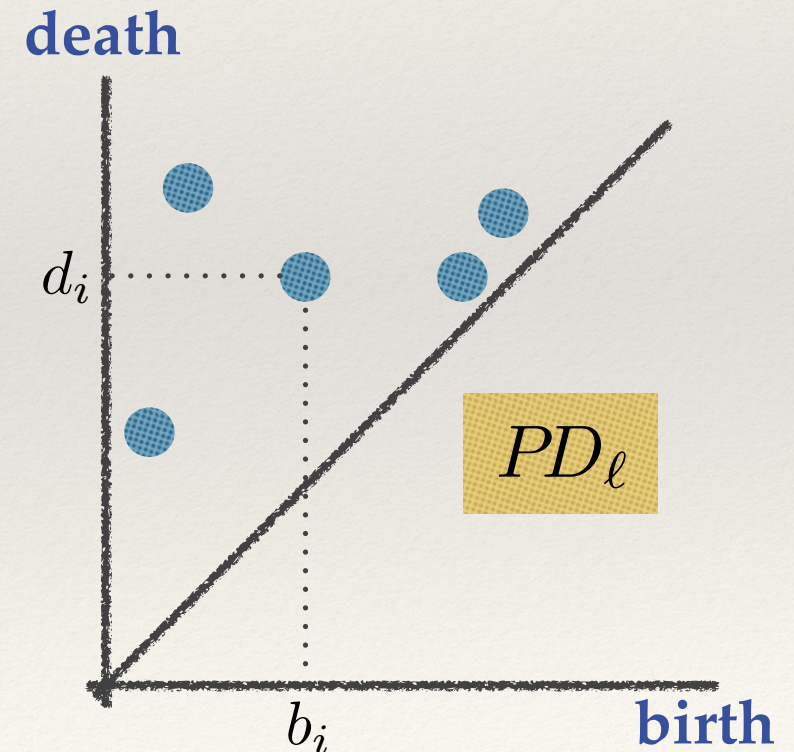


- interval decomposition

$$PH_\ell(\mathcal{X}) \simeq \bigoplus_{i=1}^s I[b_i, d_i]$$

- persistence diagram

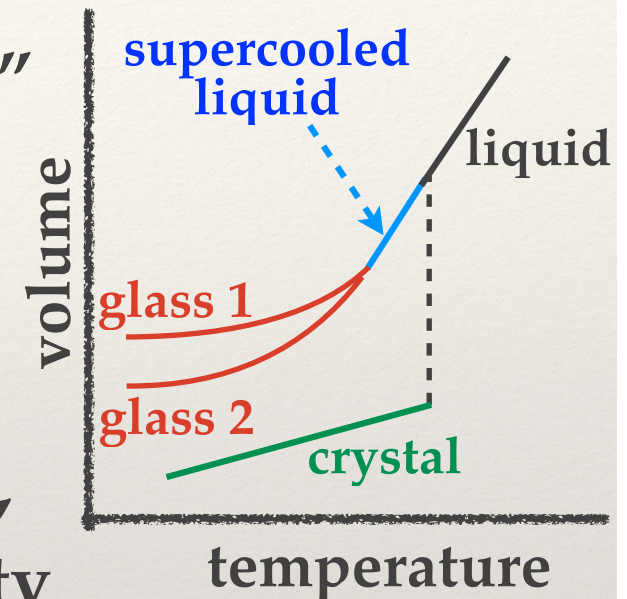
$$PD_\ell = \{(b_i, d_i) \in \mathbf{R}^2 \mid i = 1, \dots, s\}$$



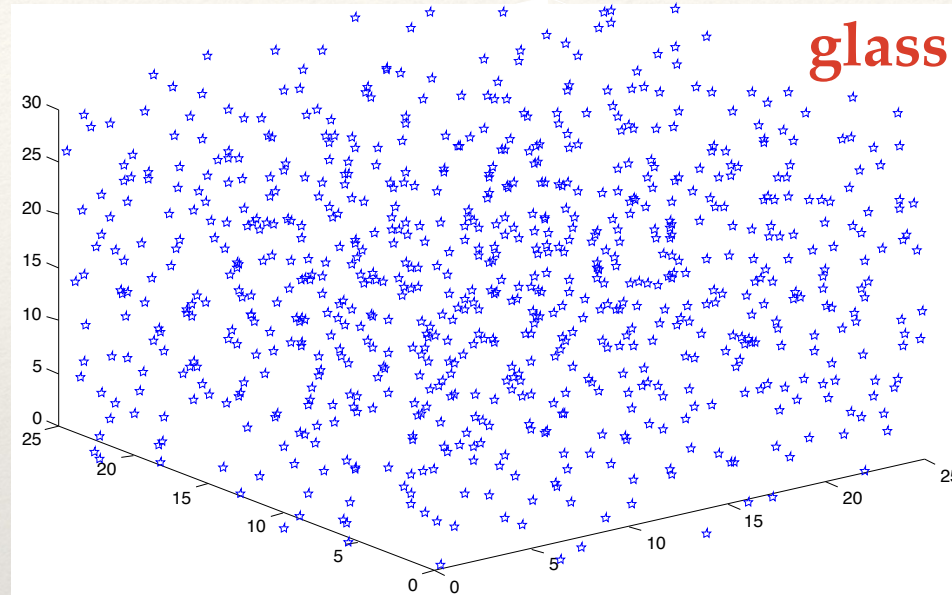
What is Glass?



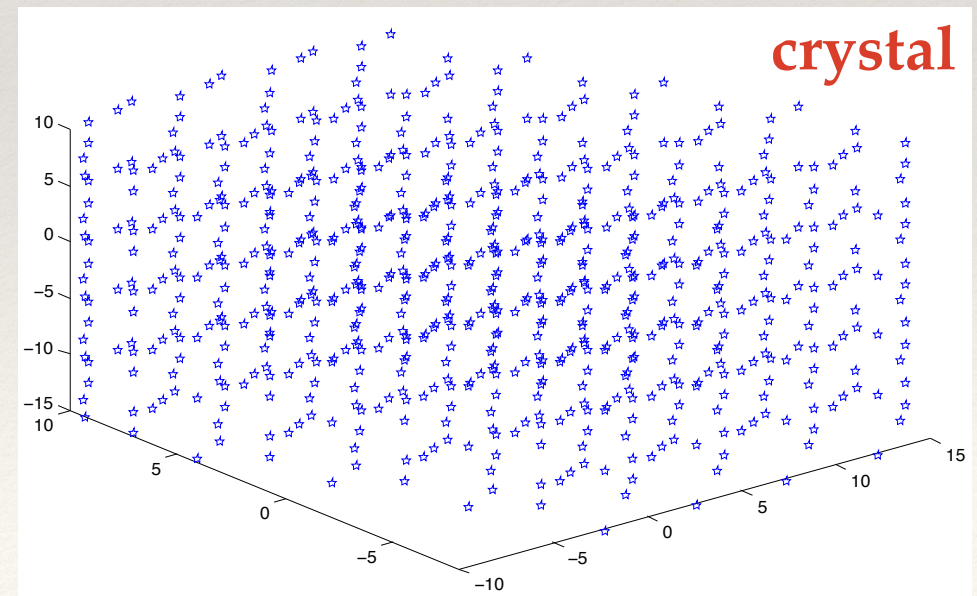
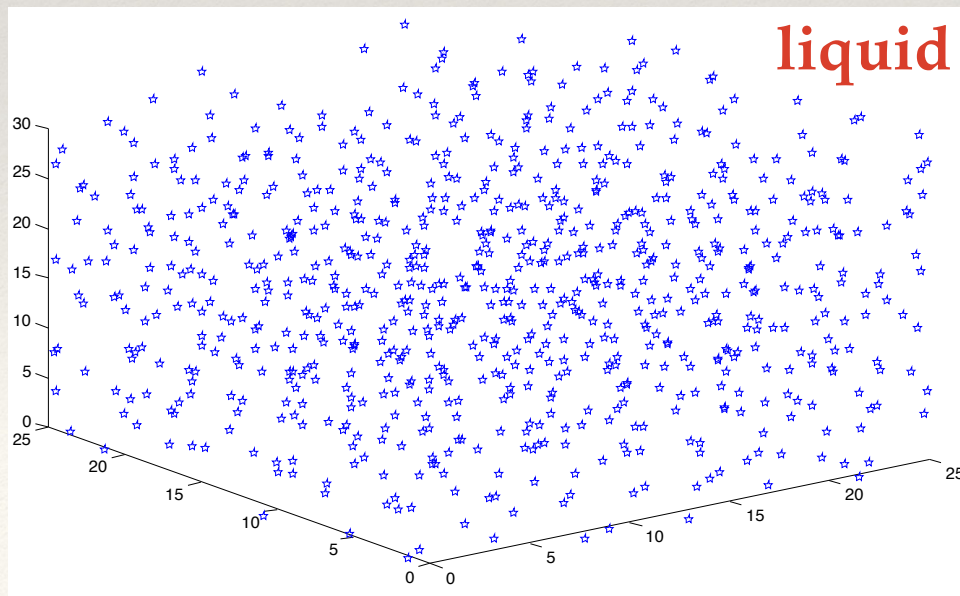
- ❖ Not yet fully answered to “what is glass?”
- ❖ Not liquid, not solid, but something in-between
- ❖ Molecules have **disordered** arrangements, but sufficient **cohesion** to maintain rigidity
- ❖ Further **geometric understandings** of atomic arrangements are required
- ❖ In applications, Solar Energy Glass, DVD, BD, etc.



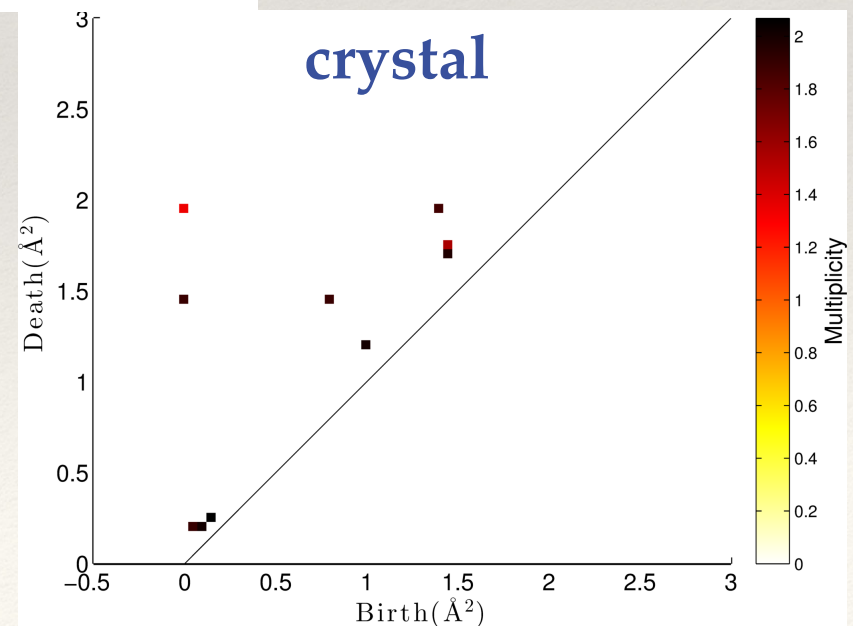
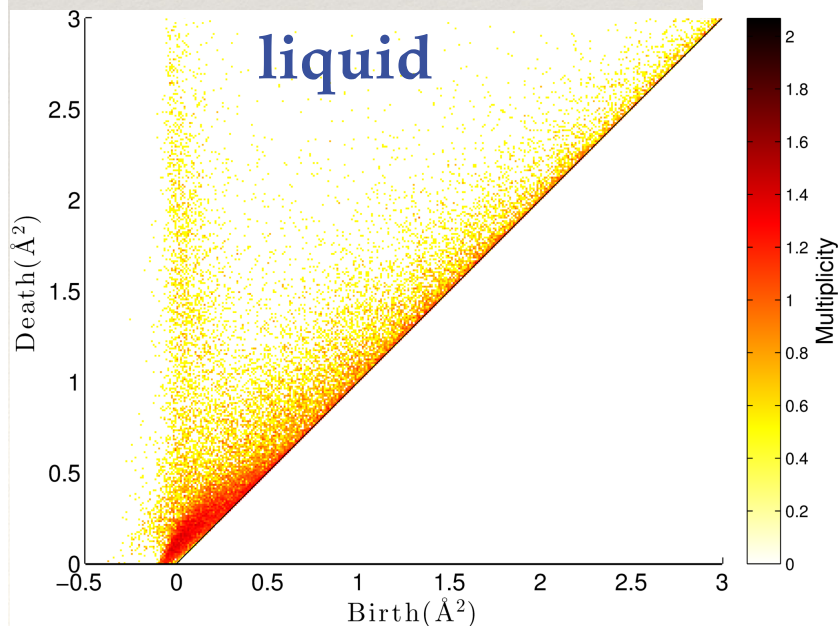
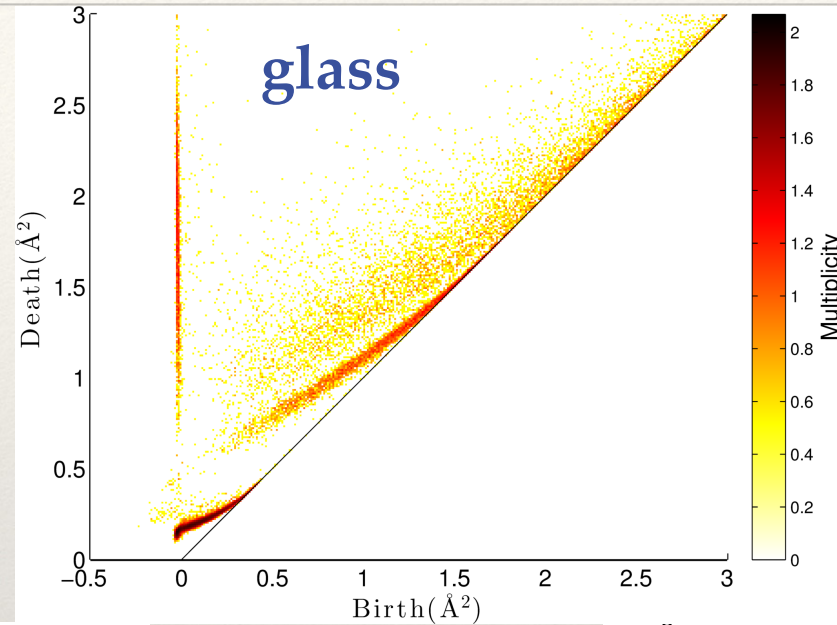
Atomic Arrangement of SiO₂



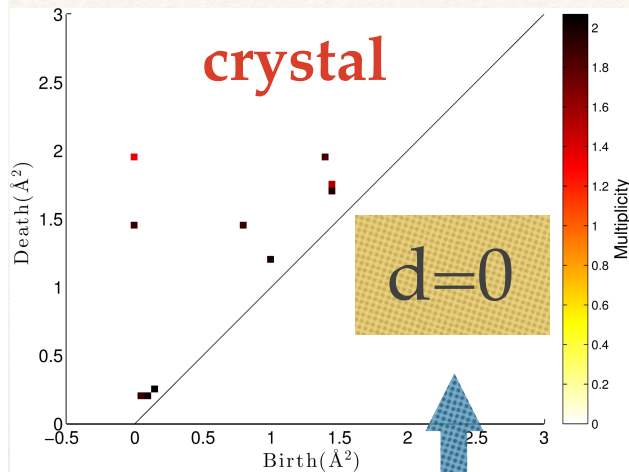
Note: they are
obtained by MD
simulations



1-dim Persistence diagrams of SiO₂



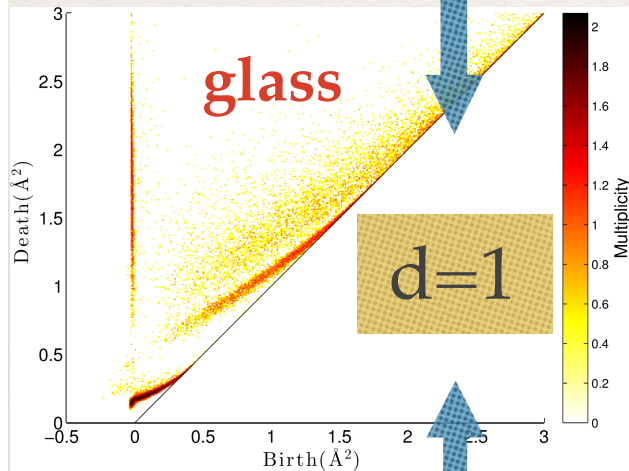
Persistence Diagrams PD_1



0 dim support results from **regular** atomic locations of crystals



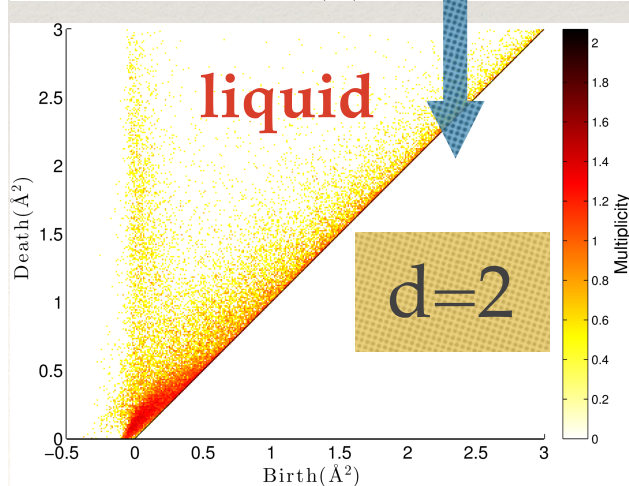
transition



1 dim support (curves!) appears

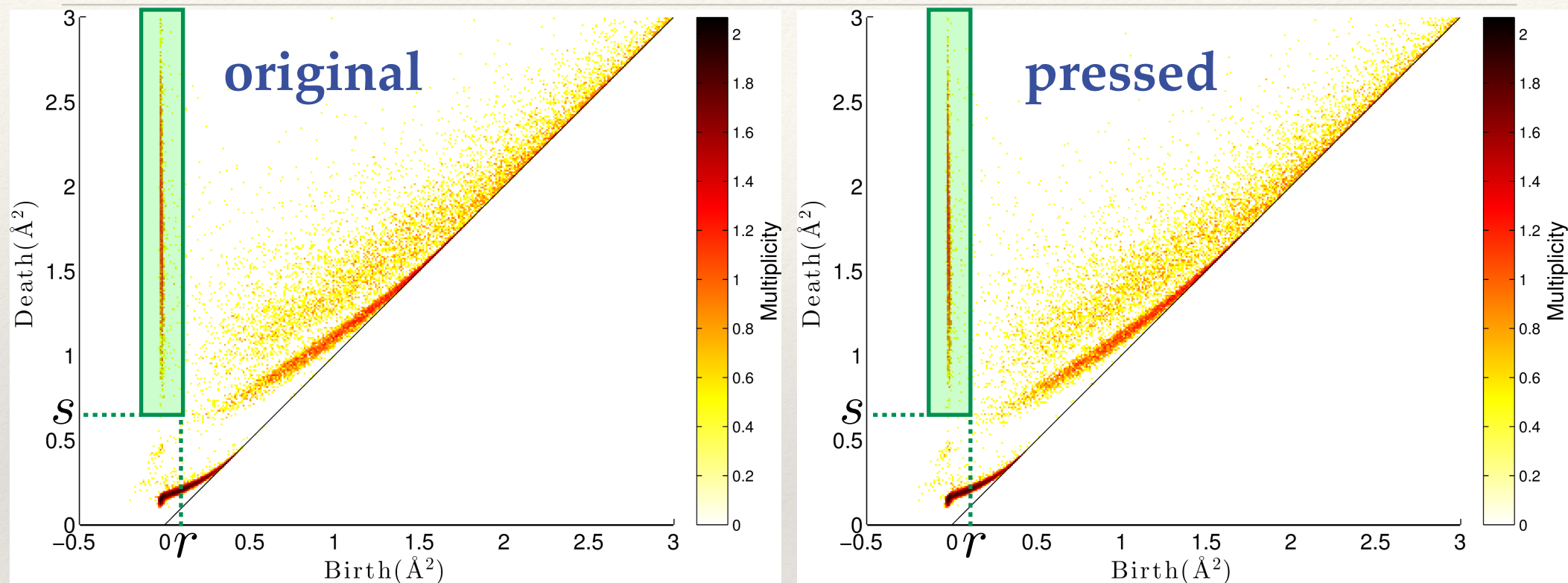


transition



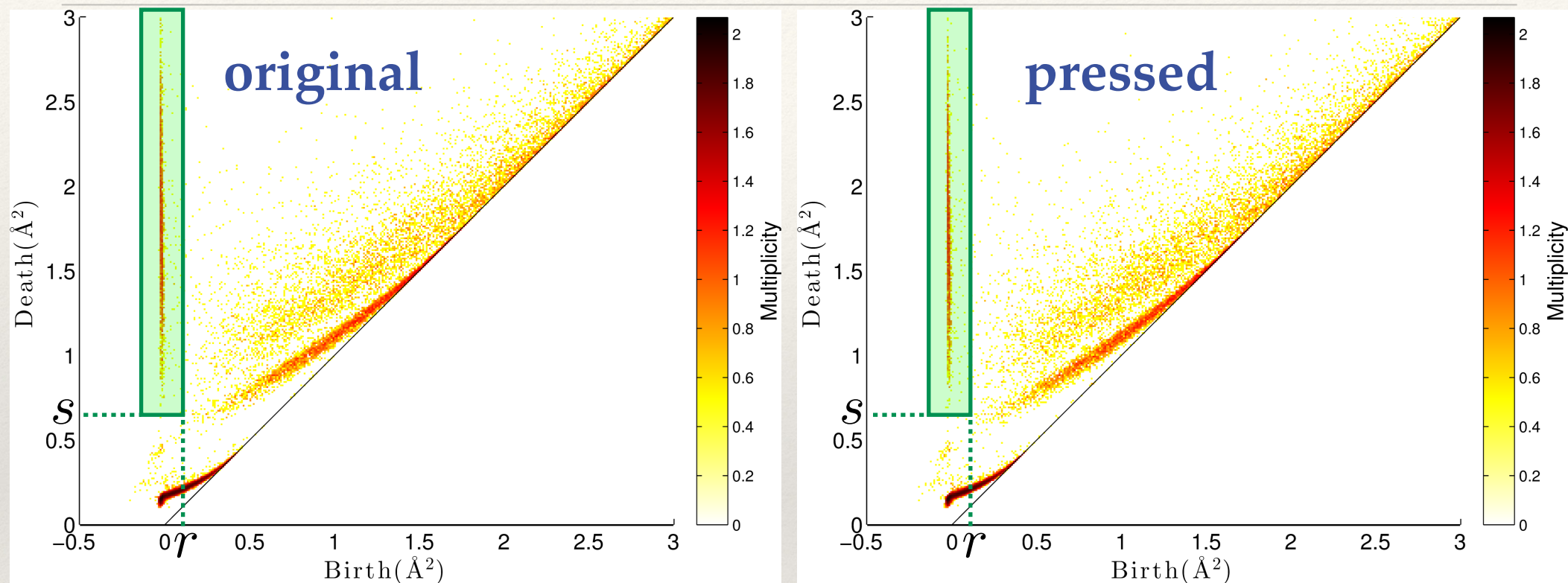
2 dim support results from **random** atomic locations of liquids

How robust under pressure?



- corresponds to middle range rings
- characterized by birth time $\leq r$ and death time $\geq s$
- $X_\alpha(w, v)$ is the number of rings as the representation of (α, α) with $\alpha = r, s$

How robust under pressure?

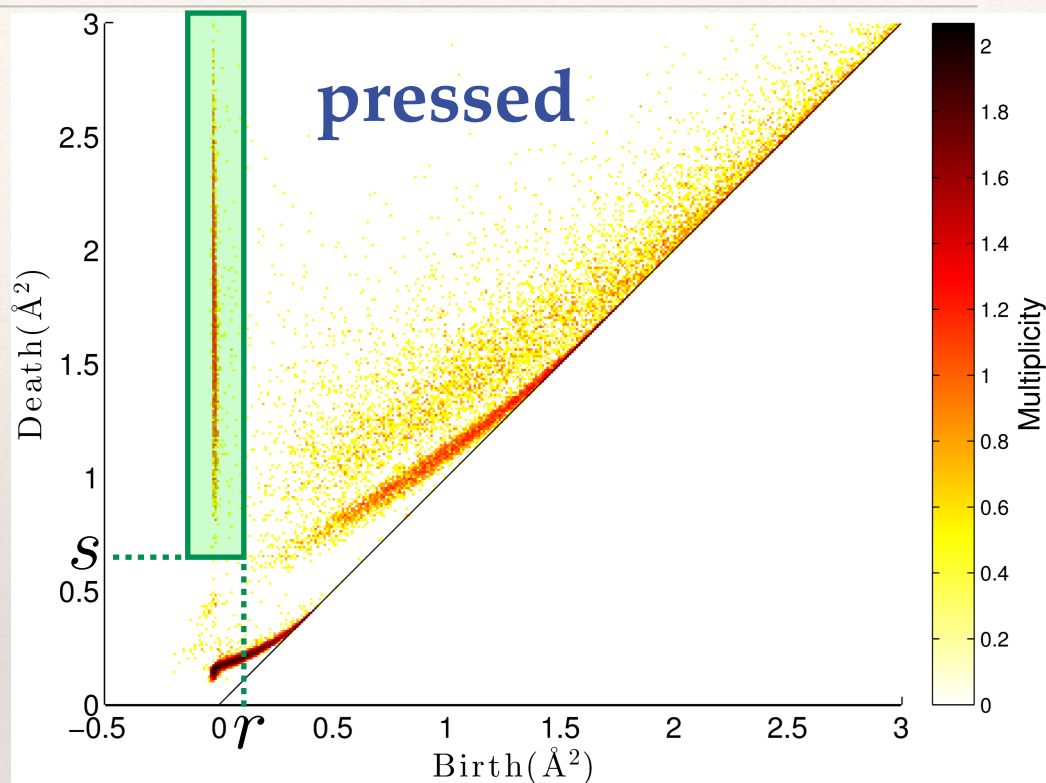
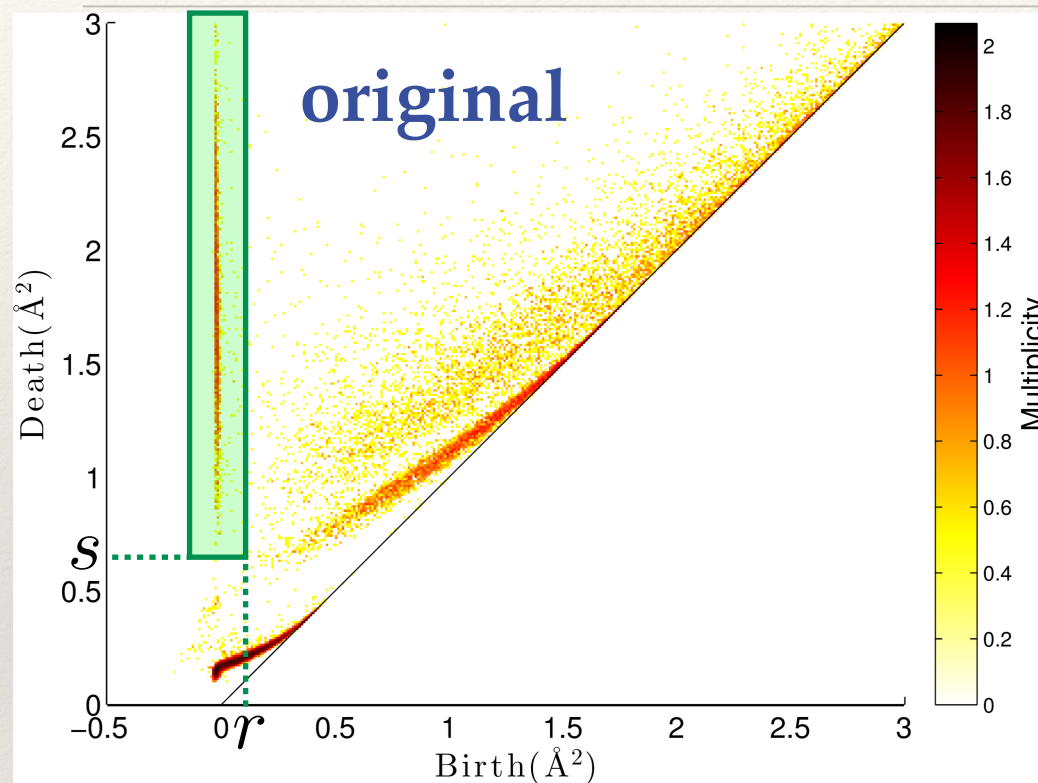


$$X_s \subset X_s \cup Y_s \supset Y_s$$

$$\cup \quad \cup \quad \cup$$

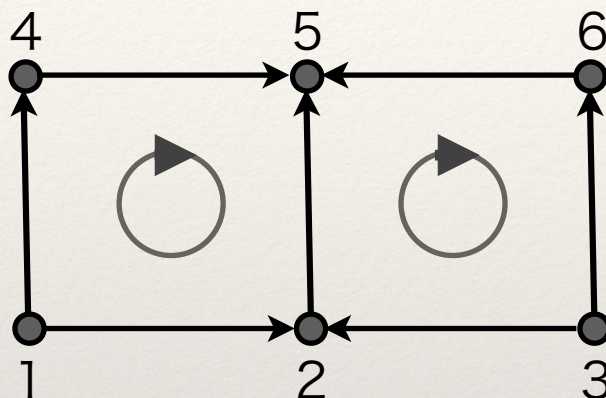
$$X_r \subset X_r \cup Y_r \supset Y_r$$

How robust under pressure?



$$\begin{array}{ccccc}
 \left[\begin{array}{c} K \\ \uparrow \\ K \end{array} \right]^n & \subset & \begin{array}{ccccc} H_1(X_s) & \rightarrow & H_1(X_s \cup Y_s) & \leftarrow & H_1(Y_s) \\ \uparrow & & \uparrow & & \uparrow \\ H_1(X_r) & \rightarrow & H_1(X_r \cup Y_r) & \leftarrow & H_1(Y_r) \end{array} & \supset & \left[\begin{array}{c} K \\ \uparrow \\ K \end{array} \right]^m
 \end{array}$$

Persistence on Commutative Ladder



$$\begin{array}{ccccc}
 X_s \subset X_s \cup Y_s \supset Y_s & & H_*(\bullet) & & H_*(X_s) \rightarrow H_*(X_s \cup Y_s) \leftarrow H_*(Y_s) \\
 \cup & & \cup & \xrightarrow{\quad \text{blue arrow} \quad} & \uparrow & & \uparrow & & \uparrow \\
 X_r \subset X_r \cup Y_r \supset Y_r & & & & H_*(X_r) \rightarrow H_*(X_r \cup Y_r) \leftarrow H_*(Y_r)
 \end{array}$$

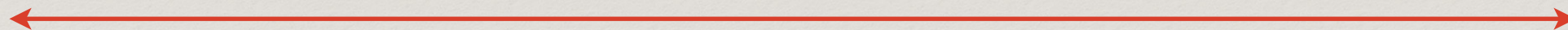
Persistence on Commutative Ladder

ex) TDA of Protein Foldings

P_t : Atomic location at time t ($t=1,2,\dots,T$)

$X_t = \mathcal{A}(P_t, s)$: Alpha cplx with radius s

time evolution



$$H_*(X_1) \longrightarrow H_*(X_1 \cup X_2) \longleftarrow H_*(X_2) \longrightarrow \dots \longleftarrow H_*(X_T)$$

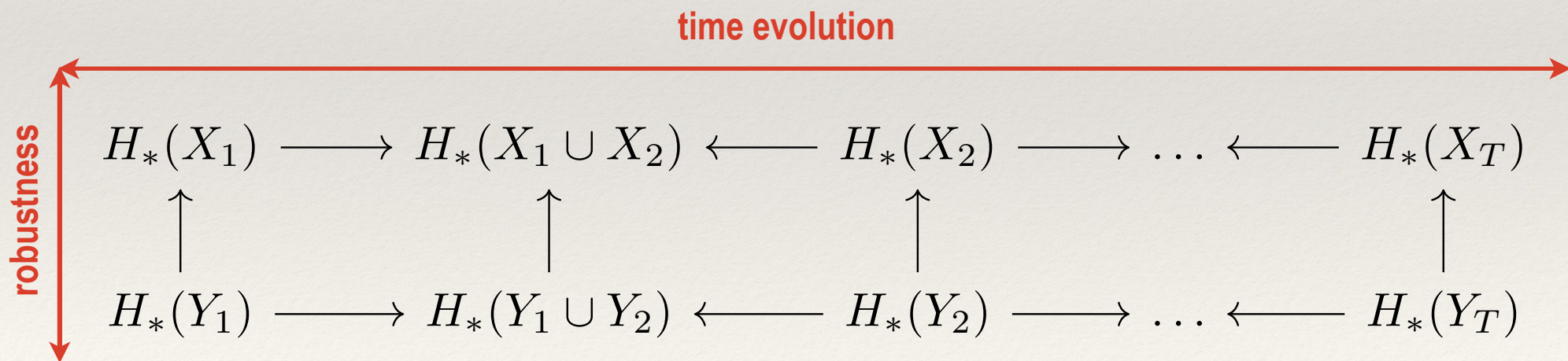
Persistence on Commutative Ladder

ex) TDA of Protein Foldings

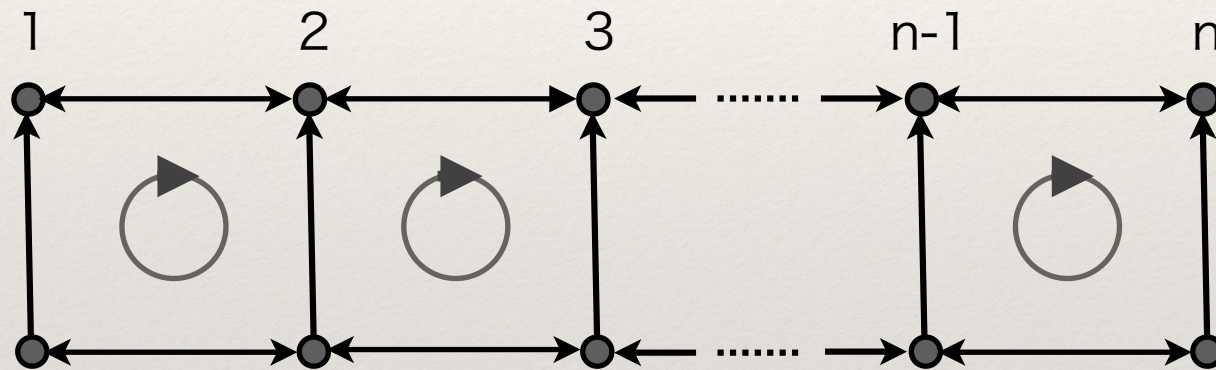
P_t : Atomic location at time t ($t=1,2,\dots,T$)

$X_t = \mathcal{A}(P_t, s)$: Alpha cplx with radius s

$Y_t = \mathcal{A}(P_t, r)$: Alpha cplx with radius r ($r < s$)

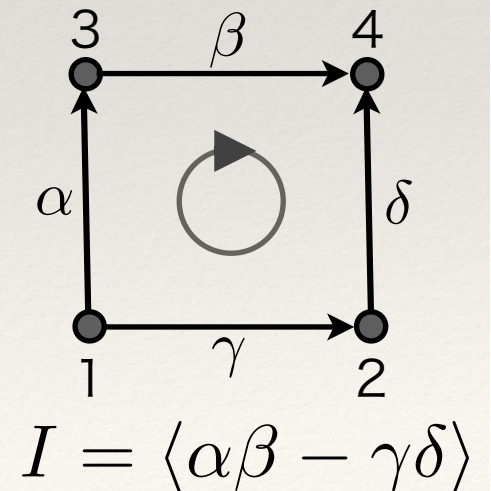


Commutative Ladder



Quiver and Path Algebra

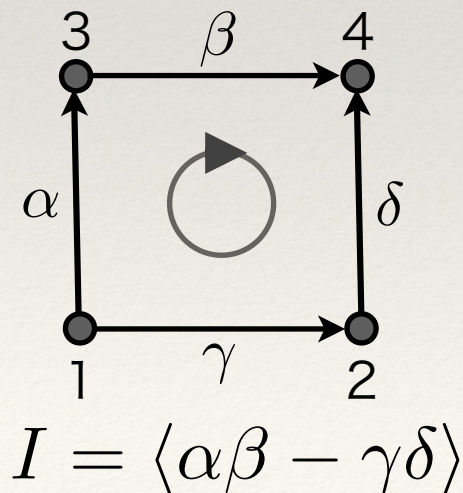
- ❖ A **quiver** $Q = (Q_0, Q_1)$ is a directed graph
 Q_0 : a set of vertices Q_1 : a set of directed edges
- ❖ A **path algebra** KQ : K -vector space spanned by all paths.
The product of two paths is the composed path.
- ❖ Relations of paths generate an ideal $I \subset KQ$
- ❖ $A = KQ/I$ is an associative algebra



Representation and Module

- ❖ A **representation** M on Q
 - A vector space M_a for each vertex a
 - A linear map $\varphi_\alpha : M_a \rightarrow M_b$ for each edge $\alpha : a \rightarrow b$

- ❖ A **representation** M on $A = KQ/I$
 $\varphi_\rho = 0$ for each relation $\rho \in I$



$$\varphi_\beta \varphi_\alpha - \varphi_\delta \varphi_\gamma = 0$$

- ❖ Equivalence of category
 $\text{mod } A \simeq \text{rep } (A)$

Krull-Schmidt Theorem

- ❖ A **submodule** $W \subset (M, \varphi)$
 - $W_a \subset M_a$ for each vertex a
 - $\varphi_\alpha(W_a) \subset W_b$ for each edge $\alpha : a \rightarrow b$

- ❖ An **indecomposable** module M

$$M \simeq W \oplus W' \quad \longrightarrow \quad W = 0 \text{ or } W' = 0$$

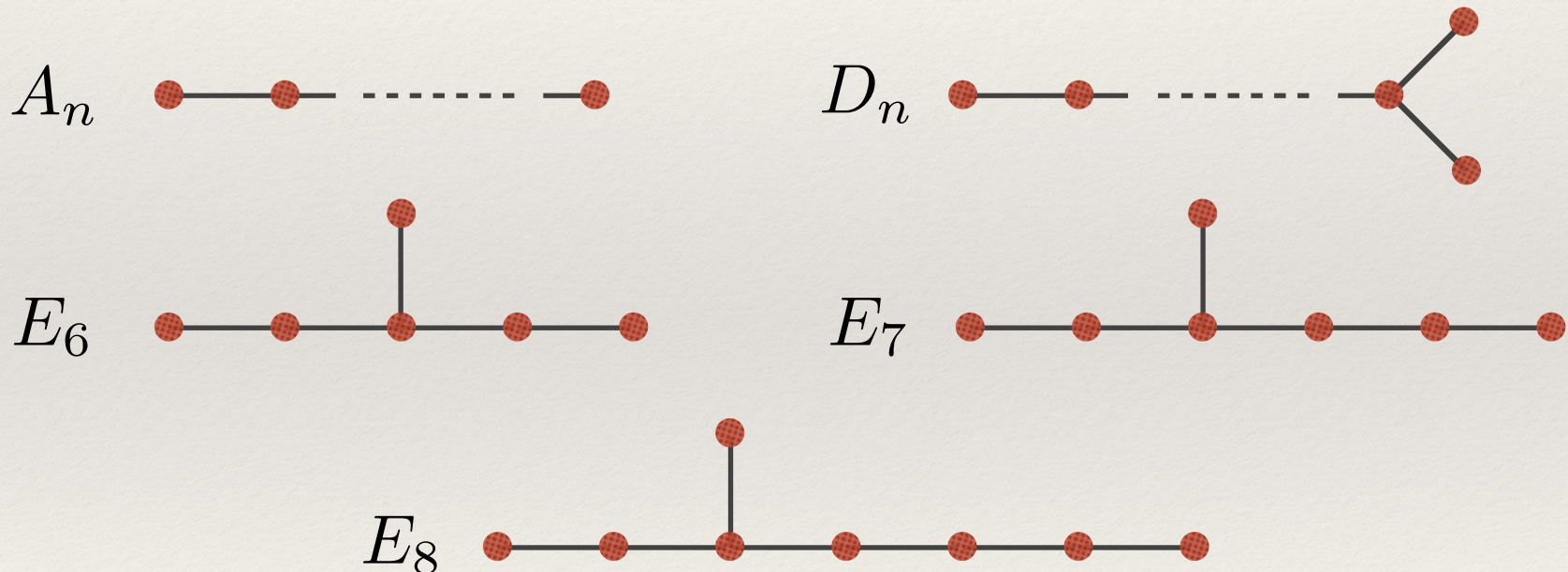
- ❖ **Unique decomposition** into indecomposables

$$M \simeq W^{(1)} \oplus \dots \oplus W^{(\ell)}$$

Gabriel's Theorem

❖ A quiver Q is representation-finite

$\longleftrightarrow$ Q is a Dynkin diagram (A_n, D_n, E_6, E_7, E_8)



❖ Note that this is **NOT** a theorem for $A = KQ/I$

Persistence Module and Diagram

Representations on A_n

Carlsson & de Silva

- ❖ Persistence Module

$$H_*(X_1) \rightarrow H_*(X_2) \rightarrow \cdots \rightarrow H_*(X_n)$$

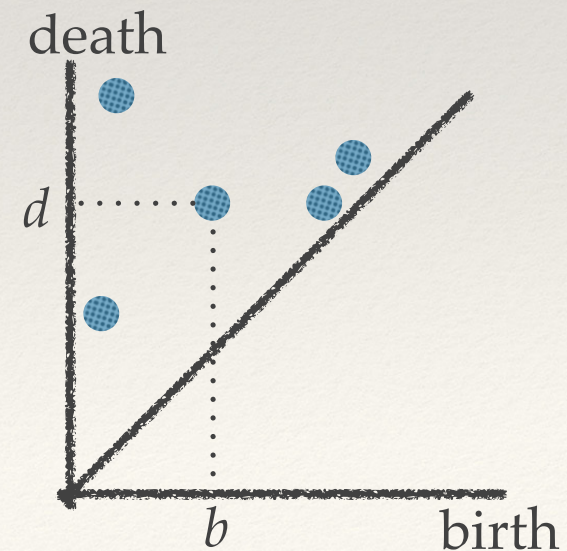
- ❖ Zigzag Persistence Module

$$H_*(X_1) \leftrightarrow H_*(X_2) \leftrightarrow \cdots \leftrightarrow H_*(X_n)$$

- ❖ Indecomposable Decomposition

$$M \simeq \bigoplus_k I[b_k, d_k]$$

- ❖ Persistence Diagram



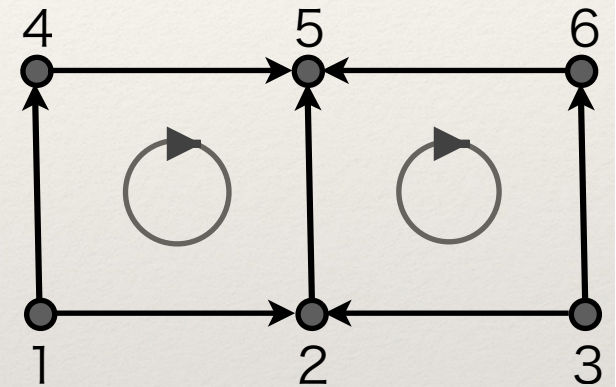
Finite or Infinite Type?

❖ Commutative ladder is **NOT** Gabriel types

❖ **Commutativity** is assigned

❖ Commutative ladder is finite type or not?

❖ What are **counterparts** of **p-intervals** and **p-diagrams**?



Auslander-Reiten Quiver

The **AR-quiver** $\Gamma(A)$ of A is defined as follows:

- ❖ The **vertices** are the indecomposable isomorphism classes $[X]$ in $\text{mod } A$

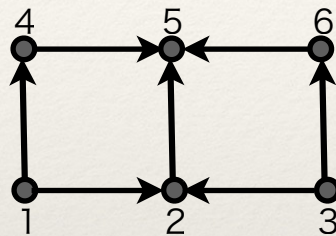
(e.g., p-intervals $I[b, d]$ in standard persistence)

- ❖ The **arrow** $[X] \rightarrow [Y]$ exists iff $\exists$ irr. morphism $X \rightarrow Y$
(e.g., $I[b, d] \rightarrow I[b - 1, d]$, $I[b, d] \rightarrow I[b, d - 1]$)

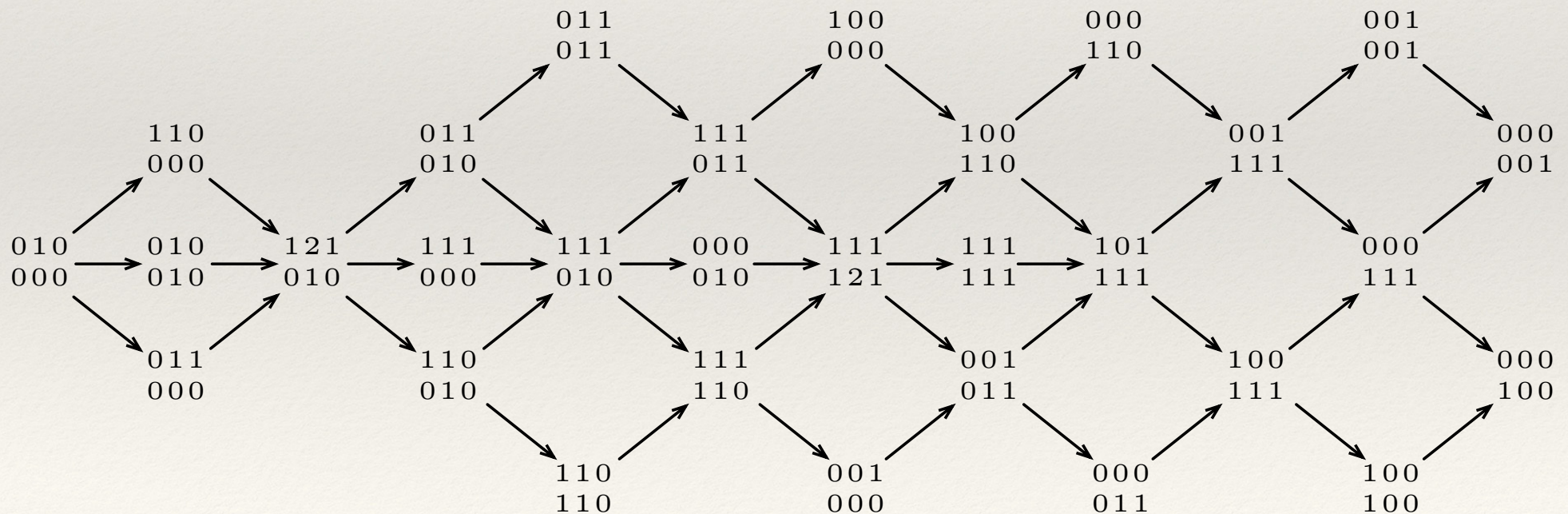
Main Theorem

- ❖ The commutative ladders of length less than 5 is **representation-finite** (independently of orientations)

- ❖ The **AR quiver** of



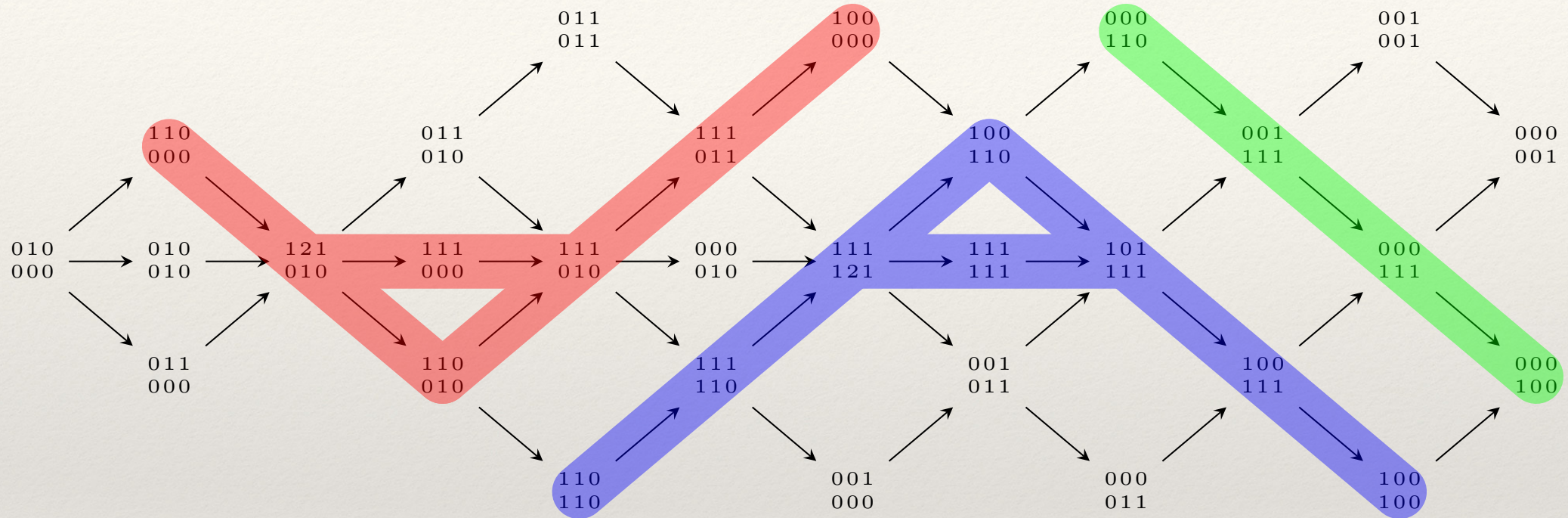
is given as follows



Proof: AR theory (inductive applications of AR translations).

Further Decomposition of 2-Step Persistence

$$H_*(X_r) \rightarrow H_*(X_s) \simeq \mathbf{I}[r, r]^\ell \oplus \mathbf{I}[s, s]^m \oplus \mathbf{I}[r, s]^n$$



$$H_*(X_s) \rightarrow H_*(X_s \cup Y_s) \leftarrow H_*(Y_s)$$

↑

↑

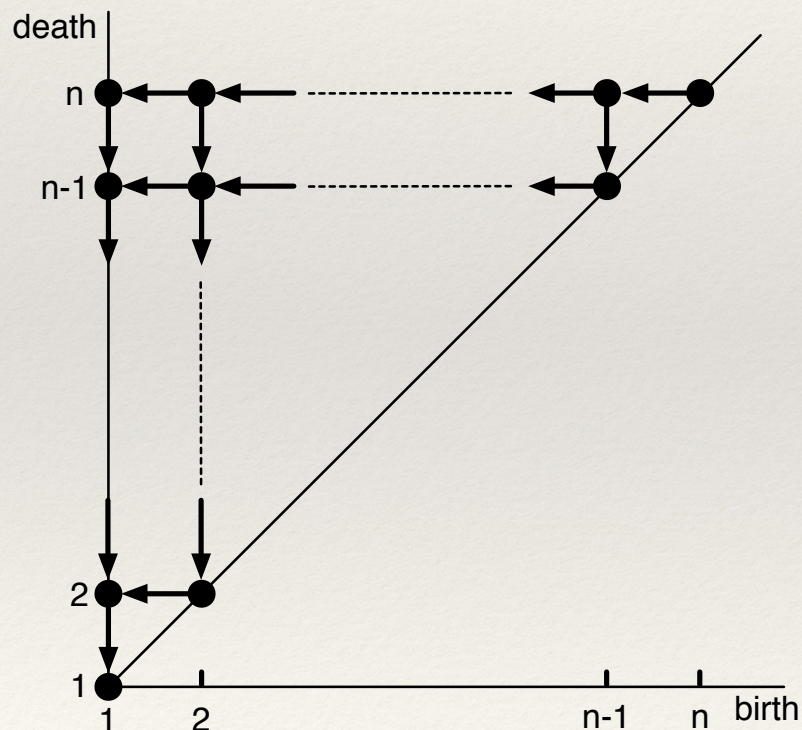
↑

$$H_*(X_r) \rightarrow H_*(X_r \cup Y_r) \leftarrow H_*(Y_r)$$

❖ Decompositions of zigzag persistence are similar

Persistence Diagram and AR quiver

- ❖ AR quiver $\Gamma = (\Gamma_0, \Gamma_1)$ of $\mathbf{A}_n$ type is the support of persistence diagram



persistence module

$$M \simeq \bigoplus_{[I] \in \Gamma_0} I^{k_{[I]}} \quad k_{[I]} \in \mathbf{N}_0$$

persistence diagram

$$D_M : \Gamma_0 \rightarrow \mathbf{N}_0$$

$$[I] \mapsto k_{[I]}$$

Persistence Diagram and AR quiver

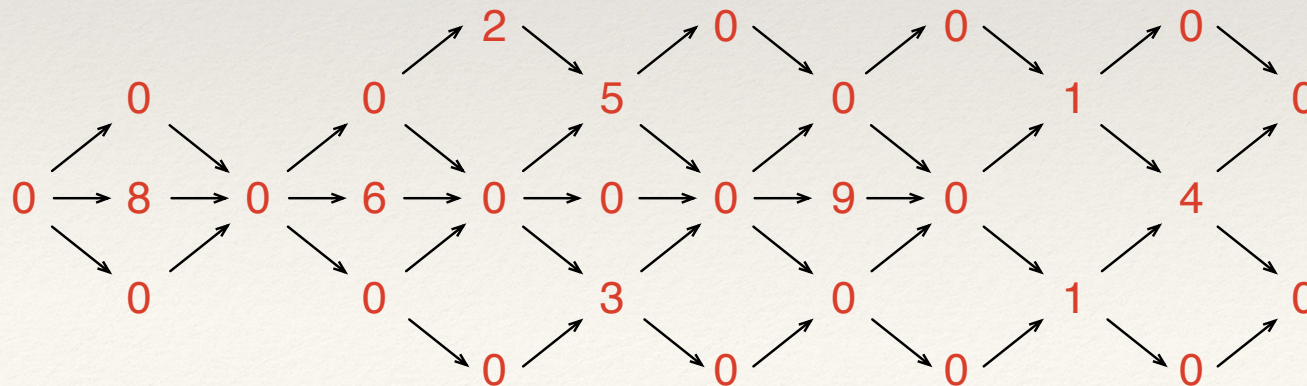
- ❖ Persistence diagram of persistence module

$$M \simeq \bigoplus_{[I] \in \Gamma_0} I^{k_{[I]}}$$

is given by a function (equivalently multiset)

$$D_M : \Gamma_0 \rightarrow \mathbf{N}_0 \quad [I] \mapsto k_{[I]}$$

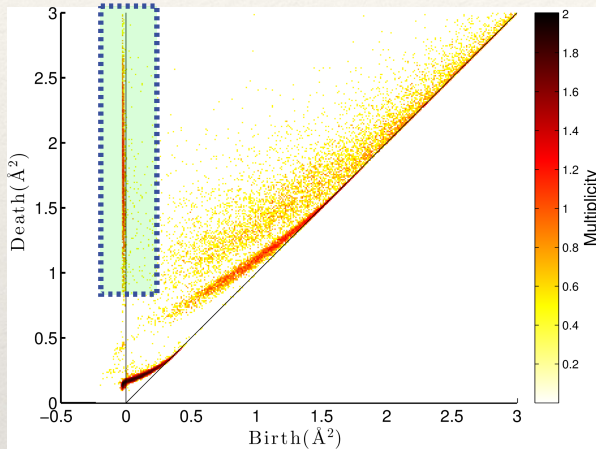
on AR quiver $\Gamma = (\Gamma_0, \Gamma_1)$



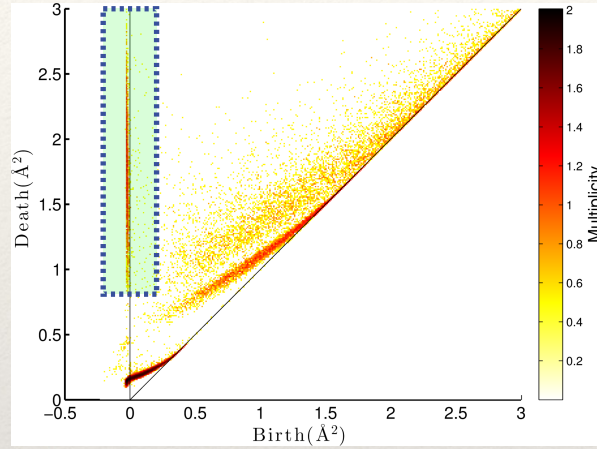
example of PD
in C.T.L.

Pressurization and C.L.

X : original

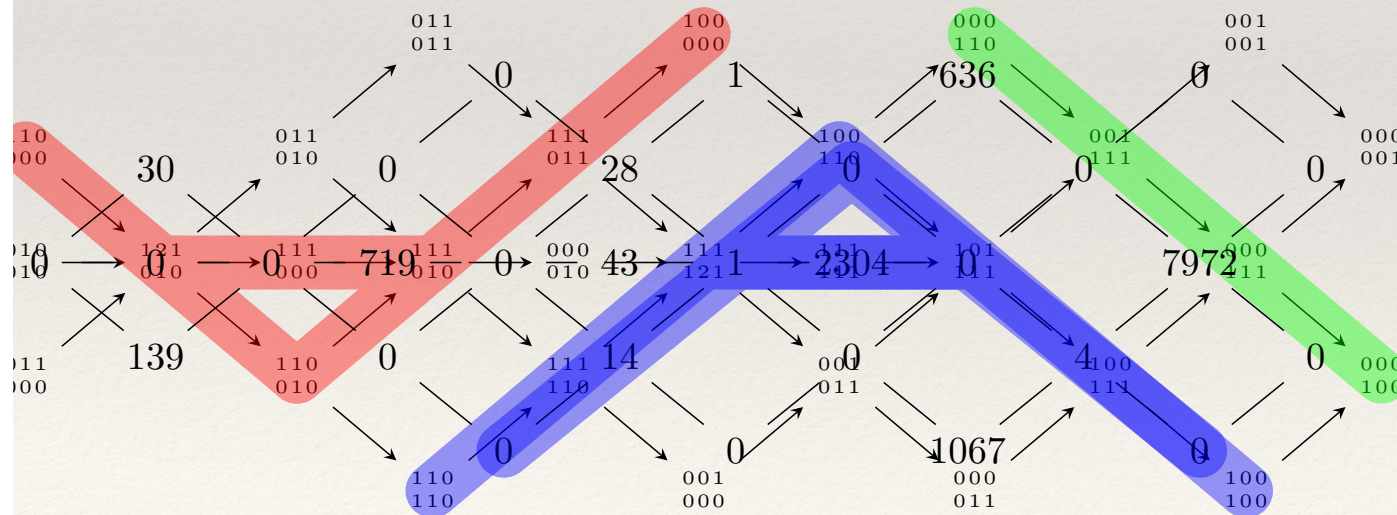
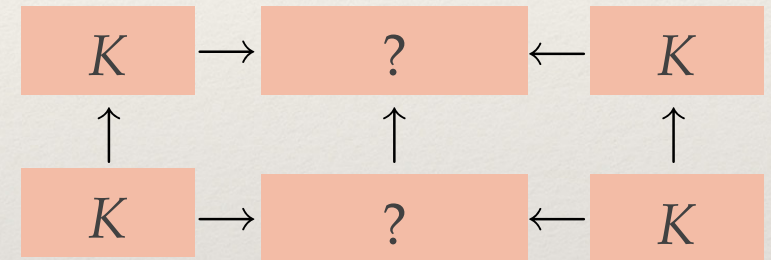


Y : pressured



$$H_*(X_s) \rightarrow H_*(X_s \cup Y_s) \leftarrow H_*(Y_s)$$

$$\begin{array}{ccc} \uparrow & & \uparrow \\ H_*(X_r) & \rightarrow & H_*(X_r \cup Y_r) \leftarrow H_*(Y_r) \end{array}$$



persistence diagram

99.18% (~2304/2323)
generators persist under
pressurization!

Computations

- ❖ The Auslander-Reiten quiver provides a flowchart of the algorithm to compute persistence diagrams
- ❖ Discrete Morse reduction can be applied to C.L.

#	$ X $	$ A $	t	t
1	15,341	2,777	903.31	39.53
2	17,626	7,164	3497.55	143.41
3	32,540	7,834	5162.12	42.34

Thank you very much